Using the template in Europe or the United States

The template is written for UK practice and rests on practice more than law. To use it in the EU or the United States, check the four things below. Financial firms and public bodies have extra rules, listed under special cases.

European Union	What to change	Who to ask
All sections	Currency and terms. Show amounts in euro or your own currency, say which, and use the job titles and terms your organisation uses.	Your finance team
Impact scale	Money bands. Restate the bands in your currency and size them to your organisation. The figures in the template are examples.	Your finance team
Purpose and scope, Risk on a page	Reporting risks. Companies describe their principal risks in the management report with their annual accounts, though small companies may be exempt. Sustainability reporting rules changed in 2026 and will cover fewer companies: check the current position in your country.	Your finance team, company secretary and auditor
Risk categories	Your regulator. Name the regulators for your sector and country in the compliance risks, including the data protection authority that covers you.	Your legal or compliance team
Special cases	Banks, insurers, investment firms and other financial firms should check what the EU rules on ICT risk (DORA) require of them. Public bodies follow their own government's rules on risk and internal control.	Your legal or regulatory advisers

United States	What to change	Who to ask
All sections	Currency and style. Show amounts in dollars, and use US spelling, job titles and date formats.	Your finance team
Impact scale	Money bands. Restate the bands in dollars and size them to your organisation. The figures in the template are examples.	Your finance team
Purpose and scope, Risk on a page	Reporting risks. Public companies describe their material risk factors in the annual report to the SEC, though smaller reporting companies need not. They also describe their processes, if any, for managing material cybersecurity risks.	Your finance team, company secretary and securities lawyers
Risk categories	Your regulator. Name the federal and state regulators for your sector in the compliance risks; they depend on what you do and where.	Your legal or compliance team
Special cases	Insured national banks with average total assets of $50 billion or more are expected to have a risk governance framework and a risk appetite statement (OCC). Federal agencies follow OMB Circular A-123, revised in March 2026.	Your legal or regulatory advisers

Sources: the statements above were taken from official pages read on 4 October 2026. The pages are listed at policysight.com/templates/risk-register. Version 1.0, next review April 2027.

This guide is a starting point, not legal or regulatory advice, and PolicySight Ltd is not a law firm. It is a list of things to check. It is not complete and law changes. Have your own advisers confirm what applies to you before you rely on it.